



Eastern Caribbean Securities Regulatory Commission

ECCB FINANCIAL COMPLEX ♦ P O BOX 1855 ♦ BASSETERRE ♦ ST KITTS ♦ WEST INDIES

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NOTICE

Pursuant to Section 66 (2) (c) of the Securities Act No. 23 of 2001 of the laws of Grenada (the Act), the Eastern Caribbean Securities Regulatory Commission (ECSRC) has revoked the broker dealer licence of Republic Finance and Merchant Bank Ltd (FINCOR) as well as the licences of all individuals accredited to the institution effective 21 March 2011 as the company has ceased to hold itself out as carrying on the business of a licensed broker dealer in the Eastern Caribbean Securities Market.

Individual licensees accredited to FINCOR failed to meet the requirements of section 64 (3) (b) of the Act and have not returned their licences to the ECSRC. These licences were cancelled and cannot be used to transact securities business within the ECSM.

The following are the cancelled licences:

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| • Wilma Williams | Representative | GR-RR-0002-04 |
| • Wilma Williams | Principal | GR-PL-0002-04 |
| • Mark Salina | Representative | GR-RR-0002-06 |
| • Mark Salina | Principal | GR-PL-0001-06 |
| • Sharlene Thomas | Representative | GR-RR-0003-08 |
| • Helena Oliver Scott | Representative | GR-RR-0004-09 |