

EASTERN CARIBBEAN SECURITIES REGULATORY COMMISSION



FORM 7

**NOTICE OF PLACE WHERE REGISTER IS KEPT
PURSUANT TO SECTION 87 OF
THE SECURITIES ACT 2001**

Notice is hereby given that _____(broker dealer/broker dealer’s
full name
principal/broker dealer’s representative/limited service broker/limited service broker’s
principal/limited service broker’s representative/investment adviser/investment adviser’s
principal/investment adviser’s representative/ financial journalist) of

_____ *full business address*
_____ will keep the register of his/its interest in securities
at _____

_____ beginning on the
place where register is kept
_____ day of _____ 200__.

Name:
Designation:
when signing on behalf of a company
Signature:
Dated: