

EASTERN CARIBBEAN SECURITIES REGULATORY COMMISSION



RENEWAL OF LICENCE APPLICATION FORM

[CORPORATE ENTITIES]

----- licensee number -----
full legal name of licensee

operating as a ----- out of the territory of -----
type of licence held

----- hereby apply to the Eastern
Caribbean Securities Regulatory Commission, pursuant to Section 66 (2) (h) of the
Securities Act, 2001, to renew its licence for the year ending -----
Anniversary Date For The Renewal

The licensee hereby confirms that the applicable licence renewal fee is enclosed with this application.

Name of General Manger

Name of Principal

Signature of the General Manager

Signature of Principal

Dated _____ day of _____ 20__

Dated _____ day of _____ 20__