

EASTERN CARIBBEAN SECURITIES REGULATORY COMMISSION



RENEWAL OF LICENCE APPLICATION FORM

[INDIVIDUALS]

----- licensee number -----
full name of licensee

operating as a ----- out of the territory of
type of licence held

----- hereby apply to the Eastern
Caribbean Securities Regulatory Commission, pursuant to Section 66 (1) (h) of the
Securities Act, 2001, to renew its licence for the year ending -----
Anniversary Date For The Renewal

The licensee hereby confirms that the applicable licence renewal fee is enclosed with this application.

Name of Applicant _____

Signature of Applicant _____

Dated _____ day of _____ 20____