

## REGISTRATION FORM

Please select one:

  
  

Theory Module + Practical Module + Examinations

Theory Module Only + Examination

Practical Module Only + Examination

**Refreshers & Re-sits:**

  
  

Re-sit: Includes - Practical Module + Examination

Re-sit: Includes – Theory Module + Examination

Refresher (*For Licensed Principals & Representatives Only*): Theory + Practical Modules Only (no Exam)

**Theory Module: 7<sup>th</sup> to 10<sup>th</sup> & 13<sup>th</sup> December 2021; Practical Module: 14 to 15 December 2021**

**NOTE: Both Modules will be conducted via WebEx Web Conferencing.**

**All exams will be conducted online. However, we reserve the right to request that a candidate take the examination at an approved examination centre. In such cases, all exam centre and invigilation fees incurred are to be paid by the candidate.**

## Candidate Information

Full Name: \_\_\_\_\_ Title: \_\_\_\_\_  
*Last First M.I. Mr/Miss/Mrs*

Address: \_\_\_\_\_  
*Street Address*

\_\_\_\_\_  
*City Country*

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

**Name of Employer:** \_\_\_\_\_

Job Title: \_\_\_\_\_ Years in Post: \_\_\_\_\_

Description of Duties: \_\_\_\_\_

Do you have a first degree in Finance or similar certification? YES  NO  If no, do you have experience working in an Accounting of Financial capacity? YES  NO

Do you have any prior knowledge of securities and capital markets? YES  NO  If yes, briefly describe how the knowledge was acquired.

Do you intend to become licensed to operate within the ECSM? YES  NO  If yes, when? \_\_\_\_\_

## Education

College: \_\_\_\_\_ Address: \_\_\_\_\_

From: \_\_\_\_\_ To: \_\_\_\_\_ Date of Completion: \_\_\_\_\_ Degree: \_\_\_\_\_

Other: \_\_\_\_\_ Address: \_\_\_\_\_

From: \_\_\_\_\_ To: \_\_\_\_\_ Date of Completion: \_\_\_\_\_ Degree: \_\_\_\_\_

### Relevant Certifications

*Please list relevant certifications*

**Type of Certification:** \_\_\_\_\_

**Institution:** \_\_\_\_\_

**Type of Certification:** \_\_\_\_\_

**Institution:** \_\_\_\_\_

### Workshop Fees & Payment Instructions

- |   |              |
|---|--------------|
| 1. Theory module + Practical Module + Examinations: | EC\$3,600.00 |
| 2. Theory Module Only + Examination:                | EC\$2,100.00 |
| 3. Practical Module Only + Examination:             | EC\$2,100.00 |
| 4. Re-Sit: Practical Module + Examination:          | EC\$1,600.00 |
| 5. Re-Sit: Theory Module + Examination:             | EC\$1,600.00 |
| 6. Refresher: Theory and Practical Modules Only:    | EC\$1,500.00 |

#### Wire Instructions for ECD Payments:

*Eastern Caribbean Central Bank*

**BIC Address ECCBKNSK, for credit to the account of ECSRC account number 161750002**

**Please account for Wire transfer fees charged by the correspondent banks in the remittance of payments by SWIFT message.**

#### Wire Instructions for USD Payments

**Beneficiary Account name:**

Eastern Caribbean Central Bank

Bird Rock

Basseterre

St. Kitts

**Beneficiary SWIFT: ECCBKNSK**

**Account Number/ABA NUMBER: 021 083 695**

**For Further Credit to ECSRC Account No. 161750002**

**Bank: Federal Reserve Bank, New York**

**Bank SWIFT BIC: FRNYUS33**

**Kindly insert the following narrative for funds submitted via wire transfer:**

**"Registration for 24<sup>th</sup> ECSM Certification Programme [Name of Registrant(s)]"**

### Disclaimer and Signature

*The Commission reserves the right to reject any registrant who fails to satisfy the minimum qualifying criteria (education and experience) for the Programme.*

*I certify that my answers are true and complete to the best of my knowledge, and I understand that payment of the relevant fees are non-refundable and should be submitted along with this completed Registration Form.*

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Please submit completed Registration Forms on or before Wednesday, 24 November 2021 to:  
info@ecsrconline.org**